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2644

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/687,497
Filing Date	October 13, 2000
First Named Inventor	FUJISAWA, Takafumi
Group Art Unit	2644
Examiner Name	McChesney, Elizabeth A.
Total Number of Pages in This Submission	4
	Attorney Docket Number

72759 [00P289PS-US00]

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	 - Form PTO-1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- copies of eleven (11) references
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	- Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	 Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	 -Supplemental Information Disclosure Statement	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY
Signature	
Date	10 10 03

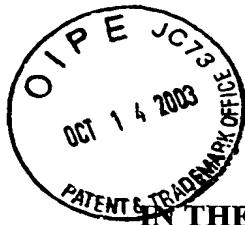
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

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Typed or printed name	Pamela M. Stone
Signature	
Date	October 10, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No. 72759 [00P289PS-US00]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Fujisawa et al. }
Application No.: 09/687,497 }
Filed: October 13, 2000 }
For: ENTERTAINMENT SYSTEM,
ENTERTAINMENT APPARATUS,
RECORDING MEDIUM, AND
PROGRAM }
Group Art Unit: 2644 }
Examiner: McChesney, Elizabeth A. }

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date.

October 10, 2003
Date

Pamela M. Stone
Pamela M. Stone

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.

Under 37 CFR §1.97(c) together with either:
 a statement under 37 CFR §1.97(e), or
 a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement

Information Disclosure Statement
U.S. Appln. No. 09/687,497

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with eleven (11) references listed as items AA-AK.

Copies of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

Item AK, which is not in the English language, was previously submitted in the IDS mailed August 18, 2003, but is being resubmitted now, due to an error in the page numbers previously listed. A full English language translation of item AK is also included herewith.

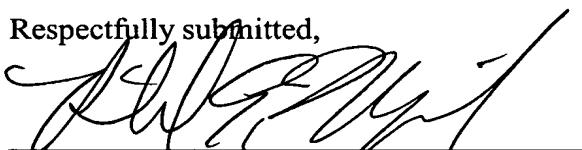
Item AA is a copy of related U.S. application serial no. 09/687,464, filed October 13, 2000, and items AB-AJ are copies of references that were cited in an office action in this related application..

Copies of items AA-AK are included herewith.

It is requested that the information provided herein be made of record in this application.

Dated: 10/10/03

Respectfully submitted,



Richard E. Wawrzyniak
Reg. No. 36,048

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**SUPPLEMENTAL INFORMATION DISCLOSURE
CITATION IN AN APPLICATION**

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		Application No.	09/687,497
		Filing Date	October 13, 2000
		First Named Inventor	FUJISAWA, Takafumi
		Group Art Unit	2644
		Examiner Name	McChesney, Elizabeth A.
Sheet 1 of 1		Attorney Docket No.	72759 [00P289PS-US00]

U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
AA			09/687,464		Fujisawa et al.				10/13/2000
AB			6,353,170	B1	Eyzaguirre et al.	03/05/2002			09/03/1999
AC			6,320,110	B1	Ishikawa et al.	11/20/2001			08/14/2000
AD			6,281,420	B1	Suzuki et al.	08/28/2001			09/20/2000
AE			6,211,453	B1	Kurakake	04/03/2001			10/09/1997
AF			6,150,598		Suzuki et al.	11/21/2000			09/29/1998
AG			6,066,792		Sone	05/23/2000			08/05/1998
AH			5,782,692		Stelovsky	07/21/1998			03/22/1997
AI			5,680,534		Yamato et al	10/21/1997			10/31/1994
AJ			5,623,112		Ito et al.	04/22/1997			12/28/1994

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AK		A. MERCK; "Steinberg Cubase Audio Sequenzer & Harddisk-Recording fur Apple Macintosh"; Keyboard, Music Media Verlag; Augsburg; January 1993; pp. 112-115, 118; XP-002240715; (full 10-page English translation also included).

Examiner Signature	Date Considered
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.